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13 May 2013

Ms Joanne Sene
Huber & Co Limited
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**Financial Services
Commission**
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Dear Joanne

Notification of Intention to Provide Cross-Border Services

I refer to Huber & Co Limited's notifications of intention to provide cross-border services into various jurisdictions and confirm that said notifications have been forwarded to the relevant supervisory bodies in accordance with the relevant arrangements.

The firm may commence providing services as from 7 June 2013 in accordance with the established protocols.

You may be contacted by the relevant regulatory bodies concerning their supervisory powers and any regulations that may be applicable to Huber & Co Limited's activities. I should be grateful if you would kindly keep us informed of any developments regarding this.

Yours sincerely

A handwritten signature in black ink, appearing to read "Ballantine-Latin".

Amy L Ballantine-Latin
Senior Regulatory Officer, Banking and Investment Services Supervision